

# On the Evolution of Qualification Methods and Standards for Microelectronics in Automotive Applications beyond AEC-Qxx

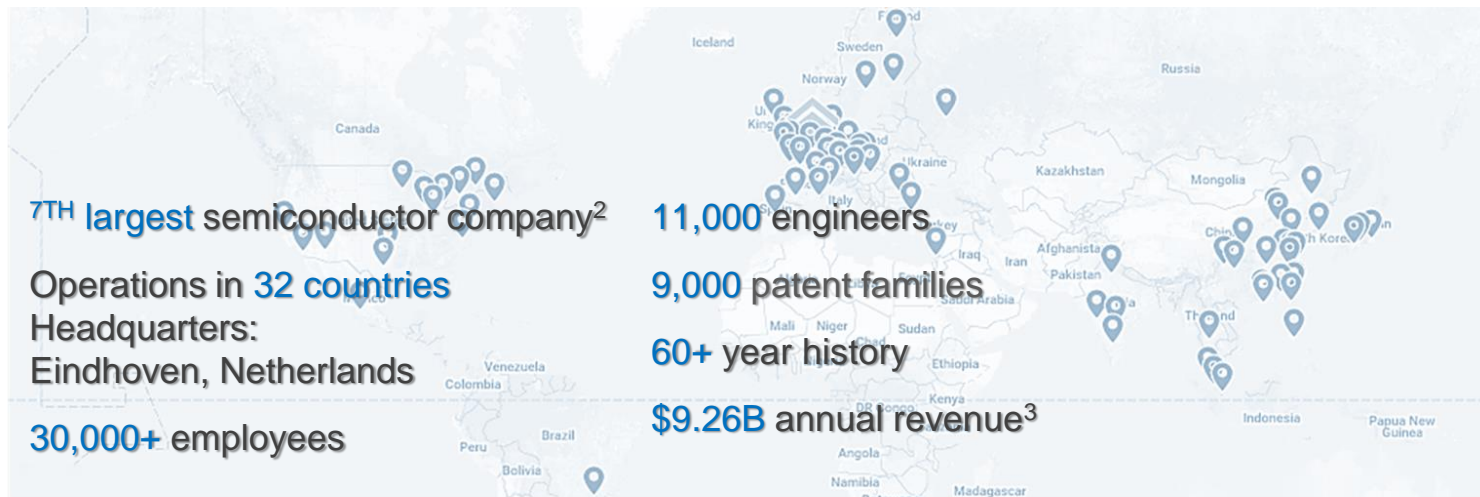
NXP – René Rongen

29 NOVEMBER 2018  
TECHNIEKHUYS  
VELDHOVEN

***PLOT CONFERENTIE***  
***TOMORROW'S RELIABILITY***



# Company Introduction



Corporate Office  
Eindhoven, Netherlands

NXP Locations

#1 Automotive

#1 Broad-based  
MCUs<sup>1</sup>

#1 Secure  
Identification

#1 Communications  
Processors

#1 RF Power  
Transistors

Sources: HIS, ABI Research, Strategy Analytics, The Linley Group

1) MCU market excluding Automotive

2) Excludes memory

3) Posted revenue for 2017

# Personal Introduction

- Fellow / Corporate Q&R
  - Joined NXP/ Philips as Reliability Engineer in 1997
- Internal Standardization
  - NXP Reliability Policy
  - NXP Cu-wire Way-of-Working
- External Standardization & Industry Consortia:  
Active participation in JEDEC, AEC, ZVEI
- Reliability “R&D”, Competence Building, Knowledge Sharing
  - NXP: Applied Reliability Training, Reliability Knowledge Framework
  - Conferences: IRPS, ECTC, ESREF, AEC-RW / (co)author, session chair, invited speaker
  - Dutch Accreditation Council: ISO17025 certification of reliability labs
- Problem Solving & Risk Assessments

**Garic Power**  
SVP Global Quality



**Paul Wyatt**  
Quality NTI/NPI,  
P&TE, GTI



## Corporate Q&R

**Rene Rongen**

Paul vd Wel

Aamer Shaukat

Steve Kilgore

Romuald Roucou

Henri v Wijk



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29 NOVEMBER 2018  
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# Content

1. Industry Trend & Evolution of Reliability Qualification Methods
2. AEC - Automotive Electronic Council: Background
3. Automotive Electronics Reliability  
Challenge 1: Extended Testing & Mission Profiles
4. Automotive Electronics Reliability  
Challenge 2: Interaction with Applications / PCBs
5. Summary

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# Industry - Trend

- New technologies and application areas require in-depth knowledge on failure mechanisms and mission profiles
  - Sensor and Radar application, ADAS, Autonomous Driving
  - Automotive high temperature applications (beyond Q100 grade 0)
  - Applications with extended operational life time e.g., charging of electric cars
- Industry standards and consortia move towards knowledge based qualification
  - AEC Q100 and other AEC specs
  - JESD94/ JEP122
  - ZVEI Robustness Validation Handbooks

**Automotive Electronics Council**  
Component Technical Committee



**ZVEI:**  
Die Elektroindustrie



# Reliability Evolution with Technology Progression

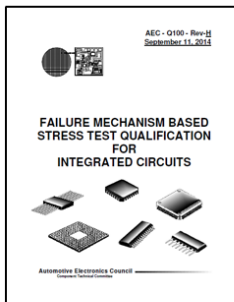
## Generation 1

### Stress Test Driven Qualification

- Predefined tests & conditions
- Predefined sample sizes
- Zero failures allowed

### Focus on component

- Component Level Reliability



2005

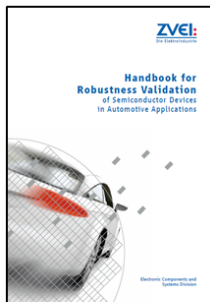
## Generation 2

### Knowledge Driven Qualification

- Component mission profile
- Test until fail or proven margin
- Based on Physics of Fail
- Build in Reliability

### New: Interaction with PCB

- Board & Application Level Reliability



2020

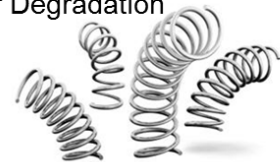
## Generation 3

### Application Driven Qualification

- Application mission profile
- Based on Physics of Degradation
- Build in Resilience

### Focus on system

- Awareness of system health (sensors and detectors)
- Ability to compensate on changes (redundancy)
- Trigger user for repair or replacement before breakdown

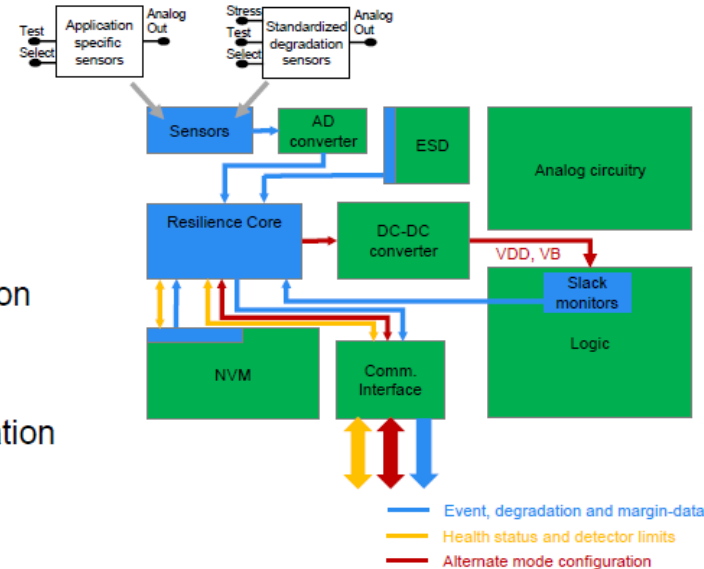


Products and technologies for future complex applications (IoT, Autonomous Driving) will need all three methods in an optimal balance to meet all reliability requirements

# Reliability engineering after RV

## What is needed to implement a resilient system?

1. Sensors and detectors
  - Standardized IP
  - Application-specific sensors
  - Using an existing AD
2. Resilience core and communication interface
3. Ideally: integrated alternate operation modes



**Goal is, that sensors, detectors and resilience core can re-use existing circuit blocks to minimize additional expenditure within the system!**



# How to shorten qualification times

- Go extreme with test conditions :
  - Correlation with standard test condition
  - Reliability models
  - Unexpected and undesired failures
- Virtual qualification
  - Material characterization
  - Failure mechanisms models
  - Multi-discipline modelling



## Key Messages / Summary

### Changes to come to the industry

- ▶ **New automotive grades / classes** will be defined in order to increase design freedom, but keep risk control
  - ▶ T-grades
  - ▶ A-grades – Application (performance vs reliability) grades
  - ▶ L-grades – Lifetime grades, ...
- ▶ **New automotive grades / classes** will on the other hand force designers to stay in associated design limits – which is not the case, today
- ▶ **Design for compatibility** is the answer to reliability & obsolescence management
  - ▶ This includes the usage of **standardized MPs**
- ▶ **Replacement electronics** approaches will come – security licenses will have update limitations
- ▶ **Function before car design** – cost efficient reliability assurance of electronics will achieve new ranks w.r.t. other car design aspects
- ▶ Joint **technology forecasting, monitoring & road mapping** along the supply chain is necessary and possible with proposed Platform



**'Automotive' is in the phase to be defined and renewed**

# Reliability Evolution with Technology Progression

## Generation 1

## Generation 2

## Generation 3

### Stress Test Driven Qualification

### Knowledge Driven Qualification

### Application Driven Qualification

- Predefined tests & conditions
- Predefined sample sizes
- Zero failures allowed

### Focus on component

- Component Level Reliability

NXP Semiconductors	Reliability Policy & Requirements	Published
Quality		7/10/2018
Global Quality		Page 1 of 18
Doc Identifier: NXPOMS-1719007347-2410	Old System Identifier: NX1-00023	

### NXP Semiconductors Reliability Policy & Requirements

Owner: G. Power  
Author: R. Rongen, H. van Wijk

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## The NXP Reliability Policy

1. Comply with industry standards  
"Generation 1"
2. Assess application mission vs technology capability (building in reliability, test-to-fail, extended testing)  
"Generation 2"
3. Prepare for "Generation 3"  
Reliability Engineering as integral part of Chip Design and Technology Development

Inspired by Ref. "Resiliency

Validation", F. Dietz/ Bosch, ECPE RV Workshop 2018



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# Automotive Electronics Council - AEC

## Component Technical Committee

1. Founded 1993, by a.o. Bob Knoell (chair until Sept 2018)
2. Original membership of 15 companies
3. Now at
  - 18 Sustaining members
  - 46 Technical Committee member companies
  - 9 Associate member companies
4. ~ 35 spec/guidelines
5. Working Relationship with JEDEC, ESDA, JEITA, IEC, IPC

Remembrance



Mr. Bob Vernon Knoell  
February 5, 1957 - September 29, 2018



# AEC: Standards under Revision or Preparation 2018

AEC std #	Current Rev	AEC standard title
<b>Q100</b>	<b>H1</b>	<b>Failure Mechanism Based Stress Test Qualification of Integrated Circuits (reference to Q006)</b>
Q100-001	C	Wire Bond Shear Test
Q100-002	D	Human Body Model Electrostatic Discharge Test
<b>Q100-003</b>	<b>E</b>	<b>Machine Model Electrostatic Discharge Test</b>
Q100-004	C	IC Latch-Up Test
Q100-005	D	Non-Volatile Memory Program/Erase Endurance, Data Retention and Operating Life Test
<b>Q100-006</b>	<b>D</b>	<b>Electrothermally-Induced Parasitic Gate Leakage Test (GL)</b>
Q100-007	B	Fault Simulation and Fault Grading
Q100-008	A	Early Life Failure Rate (ELFR)
Q100-009	B	Electrical Distributions Assessment
Q100-010	A	Solder Ball Shear Test
<b>Q100-011</b>	<b>C</b>	<b>Charged Device Model (CDM) Electrostatic Discharge Test</b>
Q100-012	0	Short Circuit Reliability Characterization of Smart Power Devices for 12V Systems
<b>Q101</b>	<b>E</b>	<b>Stress Test Qualification for Discrete Semiconductors</b>
Q101-001	A	Human Body Model (HBM) Electrostatic Discharge (ESD) Test
<b>Q101-002</b>	<b>A</b>	<b>Machine Model (MM) Electrostatic Discharge (ESD) Test</b>
Q101-003	A	Wire Bond Shear Test
Q101-004	0	Miscellaneous Test Methods
Q101-005	0	Capacitive Discharge Model (CDM) Electrostatic Discharge (ESD) Test
Q101-006	0	Short Circuit Reliability Characterization of Smart Power Devices for 12V Systems

AEC std #	Current Rev	AEC standard title
<b>Q200</b>	<b>E</b>	<b>Stress Test Qualification for Passive Components</b>
Q200-001	A	Flame Retardance
Q200-002	A	Human Body Model Electrostatic Discharge Test
Q200-003	A	Beam Load (Break Strength) Test
Q200-004	0	Measurement Methods for Resettable Fuses
Q200-005	0	Board Flex / Terminal Bond Strength Test
<b>Q200-006</b>	<b>A</b>	<b>Terminal Strength Surface Mount / Shear Strength Test</b>
Q200-007	0	Voltage Surge Test
Q001	D	Parts Average Testing
Q002	B	Statistical Yield Analysis
Q003	0	Guide for Characterization of Integrated Circuits
<b>Q004</b>	<b>A</b>	<b>Zero Defects Guideline (unreleased)</b>
Q005	B	Pb-Free Test Requirements
Q006	A	Copper Wire Qualification
Charter	A	AEC Charter
<b>Q104</b>	<b>0</b>	<b>MCM/Module Qualification</b>
		PC Board Qualification
<b>Q103</b>	<b>0</b>	<b>MEMS / Sensors</b>
Q102	0	LED
Q100 Rev. I	I	Bare Die/KGD
<b>Q105</b>	<b>0</b>	<b>Touch Systems</b>
		System Level ESD

Ref. "AEC 2018 Chair Report", Bob Knoell, AEC-RW 2018 April 24-26



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# Automotive Electronics Reliability: Challenge 1

- AEC-Q100 needs to provide clarity:

*Extended test durations, AND  
Standardized Mission Profiles*

Tier1s and OEMs  
have to accept

Explanation to flow chart:

**A** AEC Q100 Standard Qualification

**B** Extended Qualification based on  
Customer Specific MP

**C** Modeling / test-to-fail based validation

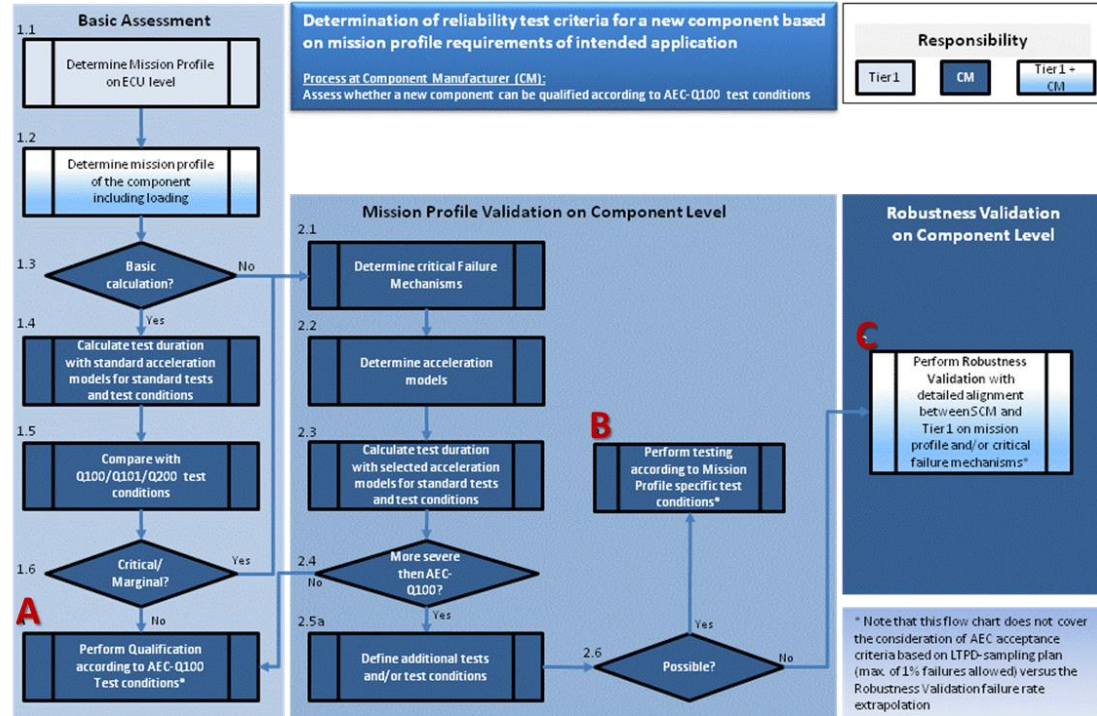
Ref.:

1. AEC-Q100H - Appendix 7

2. "Robustness Validation – an Introductory Tutorial". Werner Kanert/ Infineon & René Rongen, AEC-RW 2013

3. "Extended Lifetime Qualification based on Standard Mission Profiles", Ulrich Abelein/ Infineon, AEC-RW 2018

"AEC-Q100/101 - Appendix 7"





# NXP's "Generic" Mission Profiles; 4 for Automotive



Portable:  
Smart Phones



Commercial & Home & Industrial  
Applications in the house and in the Industry:  
Tablet, notebook & TV, DVD & Servers



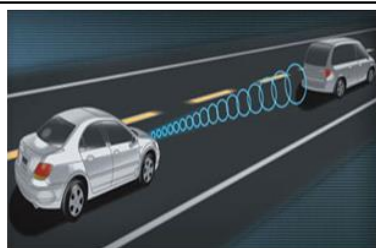
Infrastructure:  
Base stations



Ind RF:  
RF Amplifier



Automotive Grade 3  
Entertainment in the cabin  
Car radio / Seat DVD



Automotive Grade 2  
Car Radar

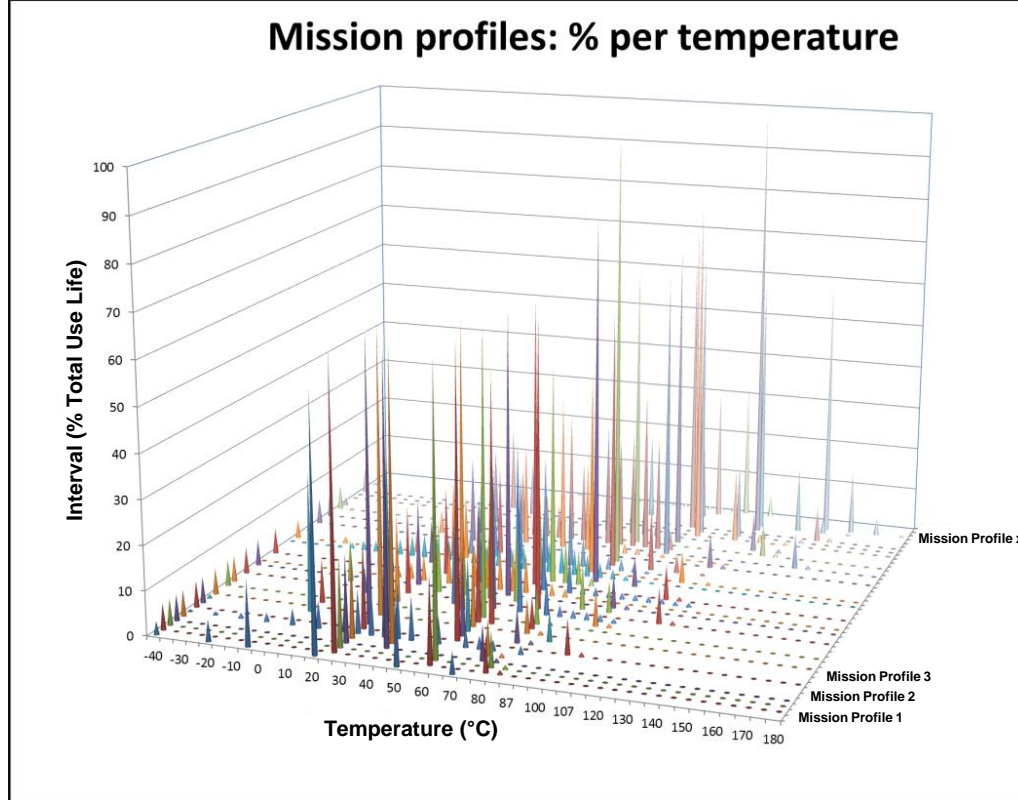


Automotive Grade 1 :  
Under the hood



Automotive Grade 0 :  
High Temp like sensors

# Analysis of > 40 Automotive Mission Profiles ...



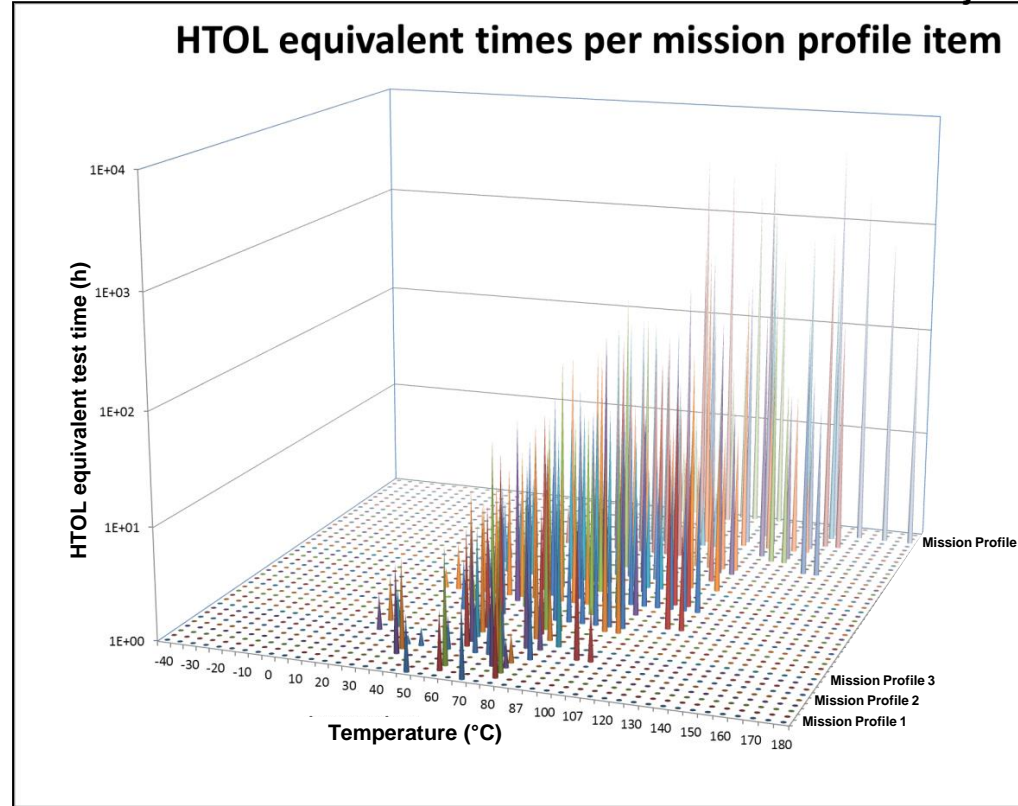
**Note:** Obviously there is more to cover than only 4 AEC-Q100 Grades...

**Table 1: Part Operating Temperature Grades**

<u>Grade</u>	<u>Ambient Operating Temperature Range</u>
<u>0</u>	<u>-40°C to +150°C</u>
<u>1</u>	<u>-40°C to +125°C</u>
<u>2</u>	<u>-40°C to +105°C</u>
<u>3</u>	<u>-40°C to +85°C</u>

Courtesy: Fred Kuper, Internal NXP Report 2014

# HTOL Equivalent Test Duration ( $T_{junc} = 150^{\circ}\text{C} / E_a = 0.7\text{eV}$ )



**Accumulated durations** span a couple of decades test durations

(Depending on the exact self dissipation in the applications)

**Between 100 h and more than 10.000 h!**

Courtesy: Fred Kuper, Internal NXP Report 2014

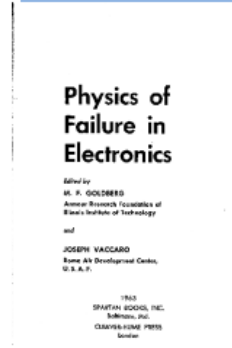
# What is the Limit?

## Or Failure vs Degradation or Change...

- Physics-of-Fail (PoF):
  - Principle is already as old as Semiconductor Industry
  - It is all about understanding processes and mechanisms causing degradation with eventual failure of materials and components
- Testing until fail can take a long time...
  - And if failures occur...  
Still the failure mechanism to be understood!
- Alternatively, the process of degradation after “time zero” can be recorded:
  - Physics-of Degradation (PoD) or even better Physics-of-Change (PoC)

Automotive Electronics Council  
Component Technical Committee

### Physics of Failure - History




**Physics of Failure in Electronics**  
Edited by  
**M. P. GOLDREED**  
Ammar Research Laboratories of  
Rensselaer Institute of Technology  
and  
**JOSEPH VACCARO**  
Space Air Development Center,  
U.S.A.F.  
1962  
SPARTAN BOOKS, INC.  
Spartanburg, S.C.  
Copyright © 1962  
Spartan

Symposium on the Physics of Failure in Electronics, September 26-27, 1962, Chicago

The SUBJECT of this symposium, “The Physics of Failure” in my opinion, is the very heart of the reliability effort, and a thorough understanding of mechanisms and physics of failures will be the principal means by which extreme reliability requirements posed by advanced systems will be met.

H. Davis, 1962





**IRPS**  
International Reliability Physics Symposium  
50th Anniversary

**IEEE International Reliability Physics Symposium**  
**IRPS Digital Archive**  
1962 - 2012  
April 15 - 19, 2012 Anaheim, California, USA

AEC RW 2015

AEC-RV-Workshop Knoell/Kanert/Rongen



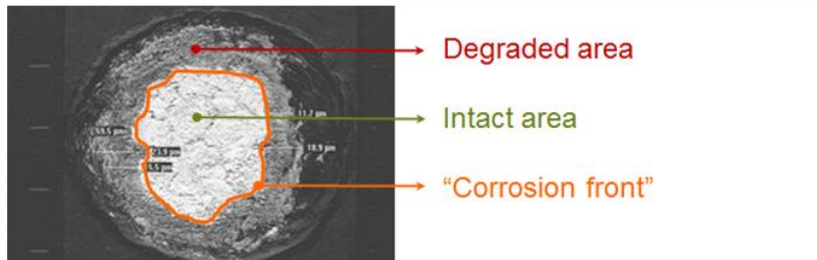
10

# Example of PoD: High T Corrosion for Cu-wire

Dynamics of Cu-Al Intermetallic Compound (IMC) Corrosion at high T:

- IMC analysis method <sup>1</sup>: remove Cu-ball & mold compound in fuming nitric acid and analyze left intermetallic layer with back scatter SEM analysis

- Model of Degradation <sup>2</sup>:



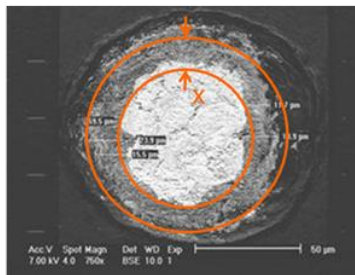
$$x = \sqrt{D_0 \cdot \exp(-Ea/k_B T) \cdot t}$$

$D_0$  = Pre-exponential constant =  $4.5 \times 10^9 \mu\text{m}^2/\text{h}$

$Ea$  = Activation Energy = 0.96 eV

$k_B$  = Boltzmann constant =  $8.617 \times 10^{-5} \text{ (eV/K)}$

$T$  = Temperature (K)



References:

- G.M. O'Halloran, et al., "Planar Analysis of Copper-Aluminium Intermetallics", in Proc. International Symposium for testing and Failure Analysis (ISTFA), San Jose, CA, Nov 3-7 2013, pp.297-300
- Lifetime prediction of Cu-Al wire bonded contacts for different mold compounds, R. Rongen et al., Electronic Components and Technology Conference, ECTC 64<sup>th</sup>, 2014, pp 411-418

Model allows life time prediction of the contact WITHOUT reliability test

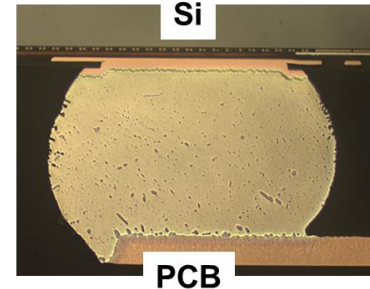
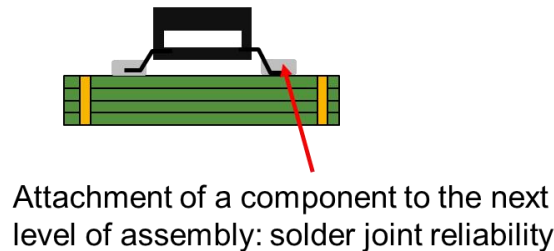
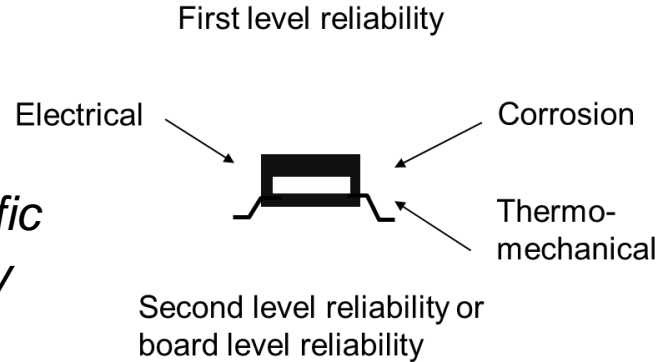
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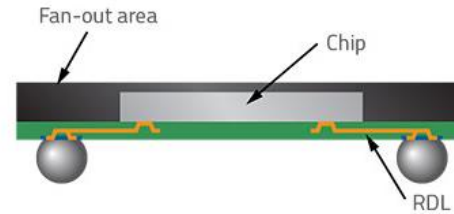
# Automotive Electronics Reliability: Challenge 2

- AEC-Qxx:  
No standard test method nor requirements for Board Level/  
Solder Joint Reliability  
*Currently: only user (Tier1) specific methods and requirements – very inefficient...*
- In addition:  
The “interaction” between 1<sup>st</sup> level (component level) and 2<sup>nd</sup> level (board level) reliability  
*Currently: only guidelines in JEP150*



Wafer Level Packages:  
Is it 1<sup>st</sup> or 2<sup>nd</sup> level ?

# Bumped Dies: New Approach (Flip Chip, Fan-out WLP and WL CSP)

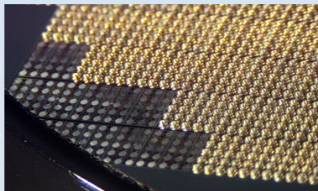
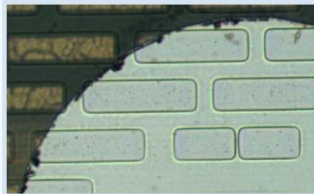


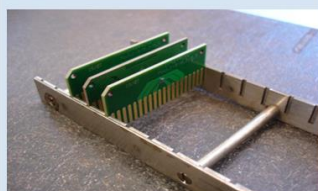




- Two key differences compared to conventional plastic packages
  - Failure modes accelerated by TC are different: dominant are cracks in the BEoL  
These can only be accelerated in the presence of a solder connection (*see next slide*)
  - Handling WLCSP may result in (many) non-stress related fails  
Mounting on a carrier is effectively preventing damage due to handling
- In principle JEP150 does apply in this case (assembled solid state devices); the problem is that JEP150 is not giving any requirements
  - NXP defined test method & requirements internally
  - Guidelines (no requirements) added to latest [JESD47 revision K](#) (IC Qualification Standard for [Commercial & Industrial Application](#))
  - [Major OEM for mobile applications](#) adjusted its IC Qualification Standard accordingly
  - Will [AEC-Qxx](#) be next?



# Flip Chip, Fan-out WLP and WL CSP

## Thermomechanical Failure Modes in BEOl

TC Type	Test vehicle		Electrical signature	Physical signature	
Component Level (CL-TC)	Singulated functional devices or part of a wafer		No failure	No damage (crack)	
Board Level (BL-TC)	Daisy chains on a PCB carrier		No failure	Damage (crack)	
Application Level (AL-TC)	Functional devices on a PCB carrier		Failure	Damage (crack) 	

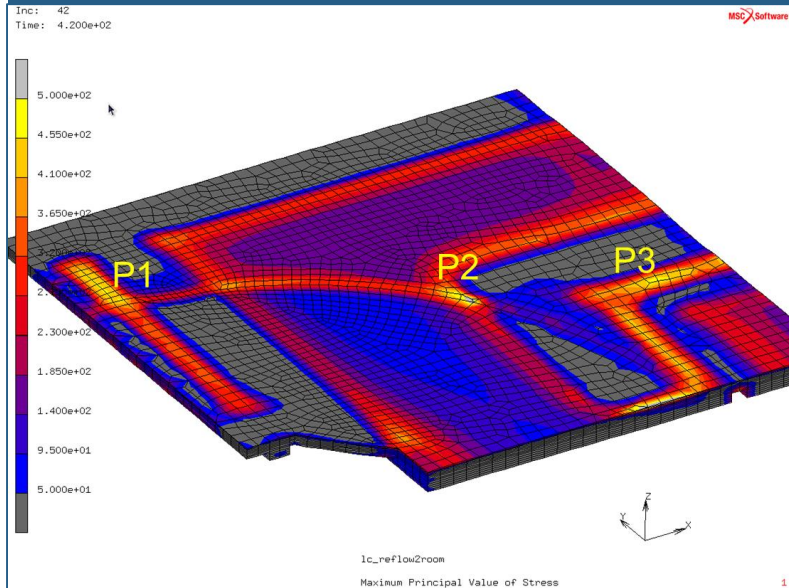
Typical fail locations (confirmed by FEM)

Ref.: Reliability of Wafer Level Chip Scale Packages, R. Rongen, R. Roucou, P.J. vd Wel, F. Voogt, F. Swartjes, K. Weide-Zaage, Microelectronics Reliability, 2014, Volume 54, pages 1988-1994

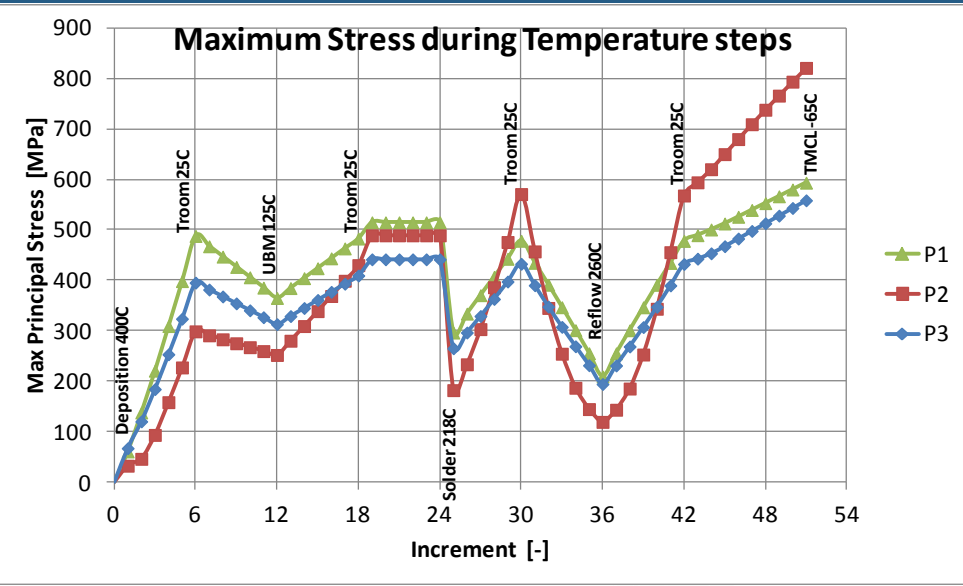
# Flip Chip, Fan-out WLP and WL CSP

## Example BEO L Stress Modeling for WL CSP

P1, P2 and P3: relevant metal structures in the corner of the die



Modeling of principal stress development over time: bumping – PCB soldering – AL-TC



Modeling allows for setting up design rules

# Flip Chip, Fan-out WLP and WL CSP

## Background NXP AL TC Requirements

Taking 1000 c from -40 to 85 °C as reference and using Coffin-Manson (CM) equation with exponent 6 for the other conditions:

Low T (°C)	High T (°C)	Reference (c)	Calculated (c)*
-40	85	1000	1000
-40	125		189

\*Coffin-Manson equation:

$$AF = \left( \frac{\Delta T_{\text{stress}}}{\Delta T_{\text{use}}} \right)^n$$

AF

$\Delta T_{\text{stress}}$

$\Delta T_{\text{use}}$

n = 6

Acceleration Factor

Temperature swing during stress test

Temperature swing during use

CM exponent; brittle fractures (JEP122)

CM exponent 6 is selected because the failure mode to cover is not solder joint fatigue but crack in the BEoL.

To ensure robustness margin while not breaking solder joints, NXP uses 300 c from -40 to 125 °C as a requirement for AL TC, ~ 1.5 x 189 c (*blue-italic*), for non automotive .

For Automotive, AL TC requirements range from 500 to 1000 c

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# Qualification Method Evolution beyond AEC-Qxx

## 1. Extended Test Durations on short term

## 2. Application Level Reliability (Functional Component on a PBC) on short / mid term

## 3. Standardized Mission Profiles on mid term

## 4. Health Monitoring & Prognostics on mid / long term

- Including modeling in predictions

## 5. “Virtual” qualifications (using modeling) will not replace but complement “real” qualifications on mid / long term

## 6. Boundary Condition: industry wide exchange of Knowledge on Physics of Fail / Degradation / Change

# Contact Details

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